

The ENCORE Plan

A flexible retirement plan solution for your business.



The ENCORE Plan offers the most **flexibility** in 401(k) plan design and investment options so you can deliver a plan that meets the specific needs of your clients.

We invite you to partner with us and break away from the constraints of “bundled” plan providers to gain competitive positioning in the small to mid-size retirement plan market. Provide your clients with true open architecture, full fee disclosure, advanced technologies, and enhanced customer service.

Plan Benefits

- Flexibility to choose only the services your business needs allowing you to control costs.
- True open architecture gives plan sponsor complete control over investment selection.
- Transparent or invisible “back office” service allows you to retain control of the retirement plan relationship.
- Cutting edge project management ensures accuracy and timeliness of daily record keeping functions and keeps you informed of all plan transactions and communication.
- Advanced vesting and loan tracking capabilities in a daily environment makes plan management easy and allows for limitless funds and money sources.
- TPA-KIT with complete reports and 5500 reconciliation to the Trust to assure accuracy and to minimize TPA’s year-end work.

Plan Features

Recordkeeping Services

- Daily Valuation/Record Keeping
- Custody and Trading
- 24 Hr Advisor/Plan Sponsor and Participant access
- Live Participant Call Center
- Quarterly award winning Participant SuperStatement™
- Quarterly Plan Sponsor Reports
- Dedicated Client Relationship Manager
- Year-end TPA kit

Investment Services

- Complete open architecture
- Unlimited money sources
- Unlimited number of funds
- Collective Investment Fund options
- Self Directed Accounts
- Revenue Sharing
- Exchange Traded Funds

Optional Services

- Enrollment Materials and Support
- Trustee Services
- IPS/Fiduciary Reporting

TPA Responsible for:

TPA Plan Services

- Plan Relationship
- Plan Communication
- Setting TPA Fees
- Plan Document
- Summary Plan Description
- Compliance Testing
- Form 5500 Preparation

SEAMLESS

401kDIRECT can provide transparent or invisible “back office” services so you can provide a seamless solution to your clients.

SERVICE

Our unending pursuit of excellence separates our organization and programs from others in the marketplace. Allow us to provide you with extraordinary service and support.

VALUE

The rising costs of employee benefits are a concern of every business owner. We offer numerous options to help control overhead and expenses to ensure your getting the best value for your business dollar.

Contact us:

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